

GAU 2648

Patent  
244/667 (prev. 6646-130N5)

#11  
J. Page  
4/24/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/340,618

Filed: June 28, 1999

For: TELEPHONIC-INTERFACE GAME  
CONTROL SYSTEM

Group Art Unit: 2648

Examiner: Weaver, S.

RECEIVED  
MAR 26 2001  
Technology Center 2100

Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
Attn: Office of Initial Patent Examination's Customer Service Center

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MAR 26 2001  
Technology Center 2600

**LETTER**

Dear Sir:

Applicant notes that the Official Filing Receipt issued by the U.S. Patent and Trademark Office reflects certain errors. For instance, the Official Filing Receipt reflects an old address instead of the address of the requesting party at the time the application was filed (see attached copy of continuation request form, Exhibit A).

Also, Applicant notes a single error in the chain of priority. Application serial number 07/335,923 is indicated as a "CIP" of Application serial number 07/194,258. Accordingly,

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C., 20231.

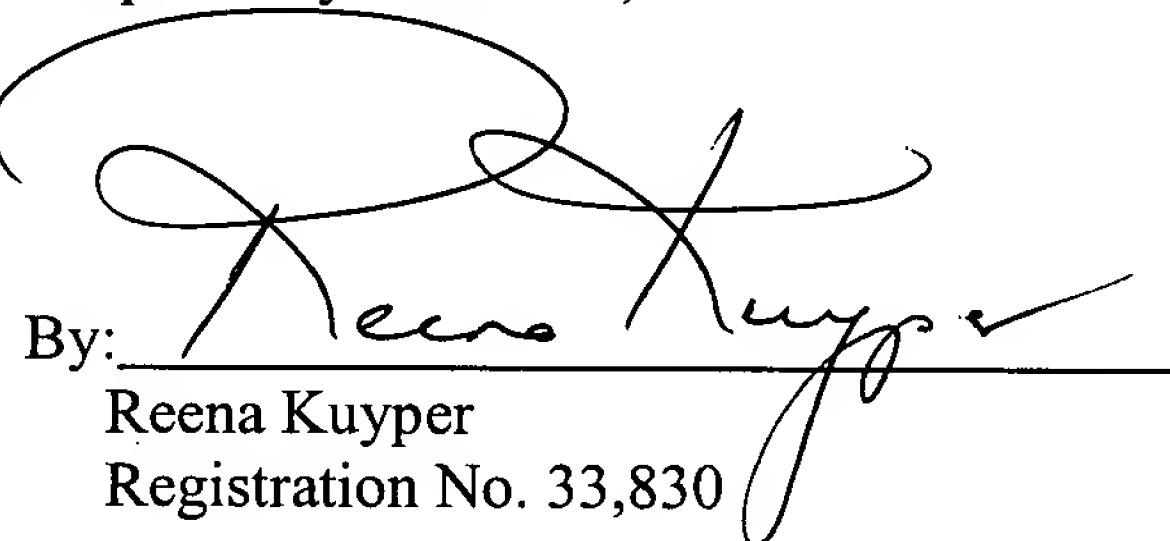
Date: 3/15/01 Sent by: Kelly Walsh Signature: Kelly Walsh

please replace "CIP" before Application serial no. 07/194,258 with "CON" as indicated by handwriting on the attached copy of the Official Filing Receipt (Exhibit B).

Applicant respectfully requests correction of these errors so that when this patent application ultimately issues, the face of the patent will reflect the correct chain of priority. In the event one it is possible to issue a revised Official Filing Receipt, Applicant respectfully requests issuance of one.

Dated: March 15, 2001

Respectfully submitted,

By:   
Reena Kuyper  
Registration No. 33,830

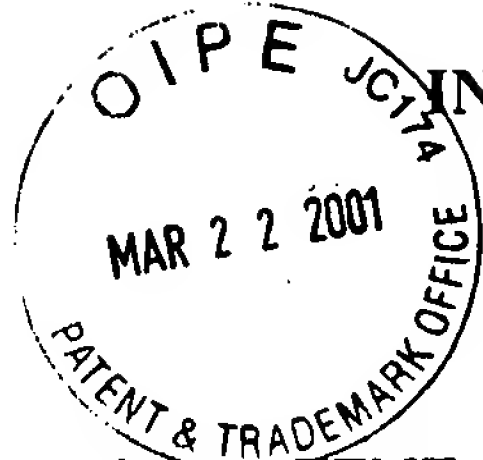
9220 Sunset Blvd., Suite 315  
Los Angeles, CA 90069  
(310) 247-8191

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Express Mail No. EM 350823590US

Patent

244/067 (6646-130N5)



BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior application: 09/128,936

Examiner: Tsang, F.

Art Unit: 2742

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**FILING UNDER 37 CFR 1.53(B)**

This is a request for filing for a

☒ continuation ☐ divisional

application under 37 C.F.R. 1.53(b) of pending prior application Application Serial No. 09/128,936 filed August 5, 1998, and entitled "Telephonic-Interface Game Control System," which is a continuation of Application Serial No. 08/559,538 filed November 16, 1995, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,793,846, which was a continuation of Application Serial No. 08/073,585 filed June 7, 1993, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,553,120, which was a continuation of Application Serial No. 07/534,907 filed June 8, 1990, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,218,631, which was a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of Application Serial No. 06/753,299 filed July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned. Also, Application Serial No. 08/559,538 is directly a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a

**CERTIFICATE OF MAILING (37 C.F.R. §1.10)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' (Label No. EM 350823590US) in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

June 28, 1999  
Date of Deposit

Reena Kuyper  
Registration No. 33,830

continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120, of

Ronald A. Katz

for: TELEPHONIC-INTERFACE GAME CONTROL SYSTEM

1. **COPY OF PRIOR APPLICATION AS FILED WHICH IS ATTACHED**

- ☒ The attached specification and drawings are substantially the same as what is shown in our records to be the above-identified prior application, including the oath and declaration originally filed.

The papers of the attached application and accompanying copies of originally filed documents include:

<u>21</u>	Pages of Specification
<u>10</u>	Pages of Claims
<u>1</u>	Pages of Abstract
<u>3</u>	Sheets of Drawings <u>    </u> formal <u>X</u> Informal
<u>2</u>	Pages of Declaration and Petition
<u>2</u>	Page of Power of Attorney by Assignee from Aldo Tesi
<u>2</u>	Pages of Power of Attorney by Assignee from Ronald A. Katz
<u>2</u>	Pages of subsequent Revocation and Grant of Power of Attorney

- ☒ In accordance with the indication required by 37 CFR 1.53(b), my records reflect that the original signed declaration showing applicant's signature was signed on May 24, 1990.

- ☐ The amendment referred to in the declaration filed to complete the prior application, and I hereby state, in accordance with the requirements of 37 CFR 1.53(b), that this amendment did not introduce new matter therein.

2. **INCORPORATION BY REFERENCE**

The entire disclosure of the prior application, from which a copy of the original oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

3. **AMENDMENTS**

- 1-15 + 19-29*
- ☒ Cancel in this application original Claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

4. **INFORMATION DISCLOSURE STATEMENT**

- ☐ An Information Disclosure Statement, PTO 1449, and references are submitted herewith.

5. **PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME TO FILE AN AMENDMENT**

- ☐ There is provided herewith a PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME NECESSARY TO FILE AN AMENDMENT (NEW APPLICATION FILED CONCURRENTLY).

6. **FEE CALCULATION (including claims presented in Preliminary Amendment)**

<b>BASIC FILING FEE:</b>				<b>\$760.00</b>
Total Claims	4	- 20	= 0 x \$18	\$0.00
Independent Claims	2	- 3	= 0 x \$78	\$0.00
Multiple Dependent Claims				\$260 (if applicable)
Surcharge 37 CFR 1.16(e)				\$130 (if applicable)
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 760.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.				
Misc. Filing Fees (Recordation of Assignment)				
<b>TOTAL FEES SUBMITTED HEREWITH</b>				<b>\$760.00</b>

- ☐ The fee for extra claims is not being paid at this time.

Filing Fee Calculation

7. **SMALL ENTITY STATUS**

- ☐ A Verified Statement to establish small entity under 37 CFR 1.9 and 1.27 is attached

- ☐ has been filed in the prior application and such status is still proper and desired. [37 CFR 1.28(a)]

Filing Fee Calculation (50% of above) \$0.00

**8. DRAWINGS**

[NOTE: DO NOT CHECK THIS IF PRIOR CASE IS NOT TO BE ABANDONED.]

- ☐ Transfer the drawings from the prior application to this application and, subject to Item 16 below, abandon said prior application as of the filing date accorded to this application. A duplicate copy of this request is enclosed for filing in the prior application file.  
[May only be used if signed by (1) applicant, (2) assignee of record or (3) attorney or agent of record authorized by 37 CFR 1.138 and before payment of issue fee.]

- ☐ Transfer the following sheet(s) of drawings from the prior application to this application.

- ☒ New drawings are enclosed ☐ formal ☒ informal

**9. PRIORITY - 35 U.S.C. 119**

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ [country] is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.

**10. RELATE BACK - 35 U.S.C. 120**

- ☒ Related Cases:

—This is a continuation of Application Serial No. 09/128,936 filed August 5, 1998, and entitled "Telephonic-Interface Game Control System," which is a continuation of Application Serial No. 08/559,538 filed November 16, 1995, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,793,846, which was a continuation of Application Serial No. 08/073,585 filed June 7, 1993, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,553,120, which was a continuation of Application Serial No. 07/534,907 filed June 8, 1990, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,218,631, which was a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of



Application Serial No. 06/753,299 filed July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned.

Also, Application Serial No. 08/559,538 is directly a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120.—

#### 11. INVENTORSHIP STATEMENT

☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. 120, the inventor(s) in this application is (are):

☒ the same

☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

[type name(s) of inventor(s) to be deleted]

☒ The inventorship for all the claims in this application are:

☒ the same

☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

#### 12. ASSIGNMENT

☒ The prior application is assigned of record to Ronald A. Katz Technology Licensing, L.P.

Ronald A. Katz Technology Licensing, L.P.  
Name of Assignee

9200 Sunset Boulevard, Suite 1005, Los Angeles, California 90069  
Address of Assignee

Assignment recorded in PTO on September 26, 1994, Reel 7133, Frames 090-100



☐ An Assignment of the invention to \_\_\_\_\_ is attached.

**13. FEE PAYMENT BEING MADE AT THIS TIME**

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.]

☒ Attached.

☒ Filing fee. \$760.00

☐ Recording assignment. [\$40.00 37 CFR 1.21(h)(1)]  
Petition fee for filing by other than all the inventors or  
person on behalf of the inventor where inventor refused  
to sign or cannot be reached.  
[\$130.00; 37 CFR 1.47 and 1.17(h)]

☐ Petition fee to Suspend Prosecution for the Time  
Necessary to File an Amendment (New Application Filed  
Concurrently.  
[\$130.00; 37 CFR 1.103 and 1.17(i)(1)]

☐ For processing an application with a specification  
in a non-English language.  
[\$130.00; 37 CFR 1.52(d) and 1.17(k)]

☐ Processing and retention fee.  
[\$130.00; 37 CFR 1.53(d) and 1.21(l)]

**Total Fees Enclosed \$760.00**

**14. METHOD OF PAYMENT OF FEES**

☐ Attached is a check in the amount of \_\_\_\_\_.

☒ Charge Deposit Account No. 12-2475 in the amount of \$760.00.

**15. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to charge the following additional fees by this paper  
and during the entire pendency of this application to Deposit Account No. 12-2475:

☒ 37 CFR 1.16 (filing fees)

☒ 37 CFR 1.16 (presentation of extra claims)

- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

16. **INSTRUCTIONS AS TO OVERPAYMENT**

- ☒ Credit Deposit Account No. 12-2475.
- ☐ Refund

17. **POWER OF ATTORNEY**

- ☐ The power of attorney in the prior application is to
- ☒ The power of attorney in the prior application is to the registered attorneys listed below and members of or associates in the law firm of **LYON & LYON LLP**, 633 West Fifth Street, 47<sup>th</sup> Floor, Los Angeles, California 90071, Registration No. 11,611, whose members are registered to practice in the U.S. Patent and Trademark office:

Roland N. Smoot, Reg. No. 18,718  
Conrad R. Solum, Jr., Reg. No. 20,467  
James W. Geriak, Reg. No. 20,233  
Robert M. Taylor, Jr., Reg. No. 19,848  
Samuel B. Stone, Reg. No. 19,297  
Douglas E. Olson, Reg. No. 22,798  
Robert E. Lyon, Reg. No. 24,171  
Robert C. Weiss, Reg. No. 24,939  
Richard E. Lyon, Jr., Reg. No. 26,300  
John D. McConaghy, Reg. No. 26,733  
William C. Steffin, Reg. No. 26,811  
Coe A. Bloomberg, Reg. No. 26,605  
J. Donald McCarthy, Reg. No. 25,119  
John M. Benassi, Reg. No. 27,483  
James J. Shalek, Reg. No. 29,749  
Allan W. Jansen, Reg. No. 29,035  
Robert W. Dickerson, Reg. No. 29,914  
Roy L. Anderson, Reg. No. 30,240  
David B. Murphy, Reg. No. 31,125

James C. Brooks, Reg. No. 29,898  
Jeffrey M. Olson, Reg. No. 30,790  
Steven D. Hemminger, Reg. No. 30,755  
Jerrold B. Reilly, Reg. No. 32,293  
Paul H. Meier, Reg. No. 32,274  
John A. Rafter, Jr., Reg. No. 31,653  
Kenneth H. Ohriner, Reg. No. 31, 646  
Mary S. Consalvi, Reg. No. 32,212  
Lois M. Kwasigroch, Reg. No. 35,579  
Lawrence R. LaPorte, Reg. No. 38,948  
Robert C. Laurenson, Reg. No. 34,206  
Carol A. Schneider, Reg. No. 34,923  
Hope E. Melville, Reg. No. 34,874  
Michael J. Wise, Reg. No. 34,047  
Richard J. Warburg, Reg. No. 32,327  
and  
Reena Kuyper, Reg. No. 33,830

- ☒ The power appears in the original papers in the prior application.

- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in this application.
- ☐ A new power has been executed and is attached.
- ☒ Address all future communications to:

LYON & LYON LLP  
633 West Fifth Street, 47<sup>th</sup> Floor  
Los Angeles, California 90071  
(213) 489-1600  
Attention: Reena Kuyper

18. **MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.

19. **CONDITIONAL PETITIONS FOR EXTENSION OF TIME IN PRIOR APPLICATION**

- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

20. **ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item 13 above.

Respectfully submitted,

Dated: June 28, 1997

LYON & LYON LLP  
Reena Kuyper  
By: \_\_\_\_\_  
Reena Kuyper  
Registration No. 33,830  
Attorneys for Applicants

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600  
Enclosures

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RECEIVED SEP 28 1969

**FILING RECEIPT**  
**CORRECTED**



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231**

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/340,618	06/28/99	2742	\$890.00	244/067-(664	3	4	2

130NS

B-6 NILSSON  
 NILSSON ROBBINS DALGARN BERLINER  
 CARSON & WURST  
 204 NORTH FIGUEROA STREET FIFTH FLOOR  
 LOS ANGELES CA 90012-2628

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of this data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)** RONALD A. KATZ, LOS ANGELES, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF	09/128,936	08/05/98	
WHICH IS A CON OF	08/559,538	11/16/95	PAT 5,793,846
WHICH IS A CON OF	08/073,585	06/07/93	PAT 5,553,120
WHICH IS A CON OF	07/534,907	06/08/90	PAT 5,218,631
WHICH IS A CIP OF	07/335,923	04/10/89	
WHICH IS A CIP OF	07/194,258	05/16/88	PAT 4,845,739
WHICH IS A CIP OF	07/018,244	02/24/87	PAT 4,792,968
WHICH IS A CIP OF	06/753,299	07/10/85	ABN

→ CON

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/22/99  
TITLE  
TELEPHONIC-INTERFACE GAME CONTROL SYSTEM

PRELIMINARY CLASS: 379

DATA ENTRY BY: BLACK, NICOLE

TEAM: 03 DATE: 09/21/99

**UNITED STATES DEPARTMENT OF AGRICULTURE**

**(See reverse for new important information)**